

**SPE RESPONSE FOR CERTIFICATE OF CORRECTION**

Paper No.: \_\_\_\_\_

DATE : January 24, 2006

TO SPE OF : ART UNIT 3694

SUBJECT : Request for Certificate of Correction for Appl. No.: 10/665570 Patent No.: 6996541 B2

Please respond to this request for a certificate of correction within 7 days.

Please review the requested changes/corrections as shown in the **COCIN** document(s) in the IFW application image. No new matter should be introduced, nor should the scope or meaning of the claims be changed.

Please complete the response (see below) and forward the completed response to scanning using document code **COCX**.

***Elisha Evans***

Certificates of Correction Branch

703-308-9390 ext. 110

**Thank You For Your Assistance**

**The request for issuing the above-identified correction(s) is hereby:**

Note your decision on the appropriate box.

☒ **Approved**

**All changes apply.**

☐ **Approved in Part**

**Specify below which changes do not apply.**

☐ **Denied**

**State the reasons for denial below.**

**Comments:** \_\_\_\_\_

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**SPE**

3694  
**Art Unit**

Docket No.: E3331.0587  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Letters Patent of:  
Michael Togher et al.

Patent No.: 6,996,541

Issued: February 7, 2006

For: CREDIT MANAGEMENT FOR  
ELECTRONIC BROKERAGE SYSTEM

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REQUEST FOR CERTIFICATE OF CORRECTION  
PURSUANT TO 37 CFR 1.323

Attention: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Upon reviewing the above-identified patent, Patentee noted two minor editorial errors which should be corrected.

In the Claims:

In Claim 1, at Col. 16, line 15, change "said trader accepting said best bid." to read: --said trader accepting said composite quote.--

In Claim 3, at Col. 16, line 27, change "said best quote" to read --said composite quote--.

Please charge our Credit Card in the amount of \$100.00 covering the fee set forth in 37 CFR 1.20(a). Credit Card Payment Form SB-2038, with a signature from an authorized cardholder, is enclosed.

The errors now sought to be corrected are inadvertent minor clerical errors the correction of which does not involve new matter or require reexamination. With regard to the correction of claim 1, it is believed obvious in view of the file that in the last sub-paragraph of claim 1 "said best bid" should have been changed to "said composite quote" as the occurrence of "best bid" in the first sub-paragraph of claim 1 as filed (which provided antecedent basis for "said best bid") was amended, over the course of two amendments, to read --composite quote--.

In particular, as filed, the first sub-paragraph, i.e., the displaying step, of claim 1 read, in relevant part, "displaying a best bid which can be accepted . . . ." while the second sub-paragraph read, "said trader accepting said best bid."

Over the course of the amendments dated February 8, 2005 and August 25, 2005, the term in question (i.e., "best bid") was changed, in the displaying step, to read "best quote" and then, finally to read "composite quote." However, corresponding changes were inadvertently not made to the second sub-paragraph. As a result, the error being corrected in this paper occurred.

With regard to the correction of claim 3, a new claim 4 (which eventually issued as claim 3), was introduced in the Amendment dated February 8, 2005. As introduced at that time, the claim recited, inter alia, "wherein said best quote is for a predetermined quantity of said item of value." However, when "best quote" was amended in the displaying step of claim 1 to read "composite quote," in the

amendment dated August 25, 2005, claim 3 was inadvertently not amended in a corresponding fashion, resulting in the error in claim 3 that is being corrected herein.

Since the corrections are clearly of a minor nature, and correct obvious mistakes, it is believed proper to correct the errors by Certificate of Correction.

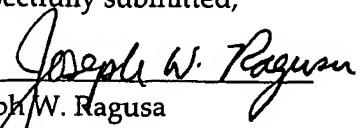
Transmitted herewith is a proposed Certificate of Correction effecting such amendment. Patentee respectfully solicits the granting of the requested Certificate of Correction.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. E3331.0587.

Dated: January 3, 2007

Respectfully submitted,

By

  
Joseph W. Ragusa

Registration No.: 38,586

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**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**

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PATENT NO. : 6,996,541  
APPLICATION NO. : 10/665,570  
ISSUE DATE : February 7, 2006  
INVENTOR(S) : Michael Togher et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

At Col. 16, line 15,

In Claim 1:

Change "said trader accepting said best bid." to -- said trader accepting said composite quote.--

At Col. 16, line 27,

In Claim 3:

Change "said best quote" to --said composite quote--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

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